



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 5996

| SERIAL NUMBER | FILING or 371(c) DATE RULE | CLASS | GROUP ART UNIT | ATTORNEY DOCKET NO. GLTS:1002RCE |
|---------------|----------------------------------|-------|----------------|--|
| 10/691,227 | | 705 | 3696 | |

APPLICANTS

George Thompson, Carrollton, TX;
 Greg Schardt, Plano, TX;

**** CONTINUING DATA *******

This application is a CIP of 09/888,040 06/23/2001

*

(*)Data provided by applicant is not consistent with PTO records.

**** FOREIGN APPLICATIONS *********** IF REQUIRED, FOREIGN FILING LICENSE GRANTED *** SMALL ENTITY ****

01/21/2004

| Foreign Priority claimed | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input type="checkbox"/> Met after Allowance | STATE OR COUNTRY | SHEETS DRAWINGS | TOTAL CLAIMS | INDEPENDENT CLAIMS |
|--------------------------------|---|--|------------------|-----------------|--------------|--------------------|
| 35 USC 119(a-d) conditions met | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | | |
| Verified and Acknowledged | /JOHN A ANDERSON/ Examiner's Signature | Initials | TX | 43 | 39 | 3 |

ADDRESS

CHALKER FLORES, LLP
 2711 LBJ FRWY
 Suite 1036
 DALLAS, TX 75234
 UNITED STATES

TITLE

Apparatus and method for displaying trading trends

| | | |
|-----------------------------------|---|--|
| FILING FEE RECEIVED 556 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | <input type="checkbox"/> All Fees |
| | | <input type="checkbox"/> 1.16 Fees (Filing) |
| | | <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) |
| | | <input type="checkbox"/> 1.18 Fees (Issue) |
| | | <input type="checkbox"/> Other _____ |
| | | <input type="checkbox"/> Credit |